

OIPE 7-2611  
AUG 15 2002  
TRANSMISSION OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

8-21-02  
Docket No.  
369807

In Re Application Of: John Hendricks

Serial No.	Filing Date	Examiner	Group Art Unit
09/964,891	September 28, 2001	N/A	2611

Title: Set Top Terminal for Generating an Interactive Electronic Program Guide for use with Television Delivery System

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Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

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37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);

OR

- the fee set forth in 37 CFR 1.17(p).

OIPA  
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
3698D7

Re Application: John Hendricks

Serial No. 09/964,891	Filing Date September 28, 2001	Examiner N/A	Group Art Unit 2611
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Set Top Terminal for Generating an Interactive Electronic Program Guide for use with Television  
System

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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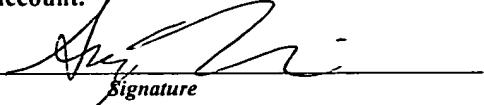
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Dated: August 15, 2002

Sung Kim

Reg. No. 45,398

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1660 International Drive

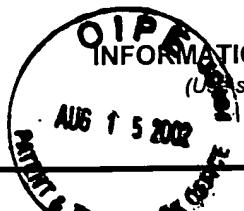
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ATTY DOCKET NO.  
3698D7

SERIAL NO.  
09/964,891

John Hendricks

FILING

September 28, 2001

GROUP

2611

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
1.	5,416,508	5/16/1995	Kazushi Sakuma, et al.	725	139	RECEIVED
2.	5,353,121	10/4/1994	Patrick Young, et al.	725	52	AUG 19 2002
3.	5,404,393	4/4/1995	Roger Remillard	379	93.25	Technology Center 2600
4.	5,223,924	6/29/1993	Hugo Stubbe	725	46	
5.	5,479,268	12/26/1995	Patrick Young, et al.	386	83	
6.	5,561,708	10/1/1996	Roger Remillard	379	93.19	
7.	5,561,709	10/1/1996	Roger Remillard	379	93.19	
8.	5,663,757	9/2/1997	Fernando Morales	725	5	
9.	5,990,927	11/23/1999	John Hendricks, et al.	725	132	
10.	5,477,262	12/19/1995	Robert Baker, et al.	725	38	
11.	5,850,218	12/15/1998	Mike LaJoie, et al.	725	45	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
1.	WO 97/13368	4/10/1997	WIPO				
2.	WO 94/14282	6/23/1994	WIPO				
3.	WO 94/13107	6/9/1994	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>U.S. INFORMATION DISCLOSURE CITATION</b> <small>(Use several sheets if necessary)</small>				ATTY DOCKET NO. 3698D7	SERIAL NO. 09/964,891		
				John Hendricks			
FILING September 28, 2001				GROUP 2611			
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE <i>RECEIVED</i>	
				12.	5,212,553		5/18/1993
13.	5,479,266	12/26/1995	Patrick Young, et al.	386	83	<i>AUG 19 2002</i>	
14.	6,025,837	2/15/2000	Joseph Matthews III, et al.	345	721	<i>Technology Center 2600</i>	
15.	5,940,073	8/17/1999	Brian Klosterman, et al.	345	721		
16.	5,808,608	9/15/1998	Patrick Young, et al.	725	139		
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
				YES	NO		
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
EXAMINER			DATE CONSIDERED				

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